

**VOTING & CORPORATE  
ACTIONS POLICY  
SHARESIES AUSTRALIA  
LIMITED**

Sharesies Australia Limited (Sharesies)  
ABN 94 648 811 830  
Australian Financial Services Licence No. 529893

[www.sharesies.com.au](http://www.sharesies.com.au)  
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## About this policy

This Voting & Corporate Actions Policy (the **Policy**) applies to the Sharesies Australia Limited (ABN 94 648 811 830; AFSL 529893) (**Sharesies**) and outlines our approach to voting and corporate actions in relation to listed securities and other investment products that are available through the Sharesies investor directed portfolio service (**IDPS**).

This Policy aims to:

1. give an understanding on how Sharesies treats corporate actions and the impact it might have on your account with Sharesies; and
2. provide transparency to you on which corporate actions, including voting, you, as an investor, can participate in for investments available through the Sharesies platform.

We may update this Policy from time to time without notice to you, unless we are required to. We may also make information available via our platform, website or other communication means, which is to be read jointly with this Policy.

This Policy should be read in conjunction with the Sharesies IDPS Guide, Target Market Determination and other disclosure documents available at [sharesies.com.au/disclosures](https://sharesies.com.au/disclosures).

We reserve the right to apply this Policy flexibly to address circumstances as they arise.

## About Sharesies

Sharesies operates an IDPS that allows our investors to purchase fractions of listed securities across exchanges in Australia, New Zealand and the United States of America.

To enable us to offer fractionalisation, we 'pool' investments together to be held by us or engaged third parties, as custodian. This means that although you, as an investor, retain the beneficial interest in the investments you purchase through our platform, you are not the registered owner of the investment.

Information on how we operate, including the differences between investing through Sharesies and investing directly into a listed security, can be found in our IDPS Guide located on our [website](#).

## Voting

As outlined above, Sharesies or an engaged third party is the registered owner and holds your investments for you as the beneficial owner. This means you do not have voting rights nor can you participate in investor meetings.

Sharesies does not generally participate in proxy voting in respect of the assets it holds on your behalf, nor do we obtain what would be the best voting decision for individual investors.

We reserve the right to facilitate from time to time, and depending on the circumstances, proxy voting on your behalf. This will only be done where we have sufficient time, capability and authorisation to do so. Where we do this, we will make available a record of our proxy voting on request.

## Corporate Actions

As listed securities are held in the name of Sharesies or an engaged third party, you typically won't receive communications from the companies you've invested with through Sharesies. However, you can ask us for a copy of communications that we have received and are able to share.

Depending on the corporate action, we may, at our discretion, make it available for you to participate, such as rights issues. If we do make participation available for a corporate action, it may be subject to additional terms and limits that may be imposed by us and/or the investment provider.

When we need instruction from you, we will send you a notice requiring action. Where we don't receive an instruction from you, we may not act or we may act in accordance with a default position for the action. We will not take into consideration your personal circumstances when we are required to take a default position or non-action.

## Common types of corporate actions

There are two types of corporate actions - mandatory and voluntary. Where an action is mandatory, an investor does not have a choice. For example, a dividend is issued.

At our discretion, we may make voluntary actions available to you. Where we do, we will request instructions from you.

Some of the common types of corporate actions available through Sharesies are outlined below.

### Dividends

If a security you've invested in through Sharesies issues a dividend, we will pass on your entitlement to you.

### Shares Split, Share Consolidation & Spinoffs

Where a share split, share consolidation or spinoff occurs, we will take the necessary steps to give effect to the action, where we can.

### Delisted Share Sales

Where we can, we may facilitate a delisted share sale. We might choose not to make this available in all cases, unless we are required to.

### Rights Issues

We may choose to make a rights offer available to you. Where we do, we will send you the appropriate documents and seek your instructions.

# Get in Touch

If you have any questions, contact our Investor Care team either through the help icon in the Sharesies app or via any of the below methods:

 [help@sharesies.com.au](mailto:help@sharesies.com.au)

 PO Box Q1410  
Queen Victoria Building, NSW 1230

## What you need to know

The information in this Policy has been provided by Sharesies Australia Limited (ABN 94 648 811 830; AFSL 529893) (Sharesies) in its capacity as IDPS Operator of the Sharesies platform. It contains general advice only and does not consider your personal circumstances, needs or objectives. You should consider whether this information is appropriate for you before making any decisions. You should read our relevant disclosure documents, including our Financial Services Guide, IDPS guide and Target Market Determination available on our website, as well as the disclosure documents available from product providers of financial products available on the Sharesies platform, before you make a financial decision. If you require financial advice, you should consider speaking with a qualified financial advisor.



## **Contact us**

*help@sharesies.com.au*

*<https://www.sharesies.com.au>*

*PO Box Q1410  
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